

General Assembly

Raised Bill No. 5684

February Session, 2000

LCO No. 1962

Referred to Committee on Government Administration and Elections

Introduced by: (GAE)

An Act Concerning Public Accountability, Economic Development and Privatization.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. Subsection (b) of section 1-210 of the general statutes, as
- 2 amended by section 1 of public act 99-156, is repealed and the
- 3 following is substituted in lieu thereof:
- 4 (b) Nothing in the Freedom of Information Act shall be construed to require disclosure of:
- 6 (1) Preliminary drafts or notes provided the public agency has
- 7 determined that the public interest in withholding such documents
- 8 clearly outweighs the public interest in disclosure;
- 9 (2) Personnel or medical files and similar files the disclosure of 10 which would constitute an invasion of personal privacy;
- 11 (3) Records of law enforcement agencies not otherwise available to
- 12 the public which records were compiled in connection with the
- 13 detection or investigation of crime, if the disclosure of said records

14 would not be in the public interest because it would result in the 15 disclosure of (A) the identity of informants not otherwise known or the 16 identity of witnesses not otherwise known whose safety would be 17 endangered or who would be subject to threat or intimidation if their 18 identity was made known, (B) signed statements of witnesses, (C) 19 information to be used in a prospective law enforcement action if 20 prejudicial to such action, (D) investigatory techniques not otherwise 21 known to the general public, (E) arrest records of a juvenile, which 22 shall also include any investigatory files, concerning the arrest of such 23 juvenile, compiled for law enforcement purposes, (F) the name and 24 address of the victim of a sexual assault under section 53a-70, 53a-70a, 25 53a-71, 53a-72a, 53a-72b or 53a-73a, or injury or risk of injury, or 26 impairing of morals under section 53-21, or of an attempt thereof, or 27 (G) uncorroborated allegations subject to destruction pursuant to 28 section 1-216;

- (4) Records pertaining to strategy and negotiations with respect to pending claims or pending litigation to which the public agency is a party until such litigation or claim has been finally adjudicated or otherwise settled;
- 33 (5) (A) Trade secrets, which for purposes of the Freedom of 34 Information Act, are defined as [unpatented, secret, commercially valuable plans, appliances, formulas or processes, which are used for 35 36 the making, preparing, compounding, treating or processing of articles 37 or materials which are trade commodities obtained from a person and 38 which are recognized by law as confidential, and information, 39 including formulas, patterns, compilations, programs, devices, 40 methods, techniques, processes, drawings, cost data, or customer lists 41 that (i) derive independent economic value, actual or potential, from 42 not being generally known to, and not being readily ascertainable by 43 proper means by, other persons who can obtain economic value from 44 its disclosure or use, and (ii) are the subject of efforts that are reasonable under the circumstances to maintain secrecy;

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- 46 (B) [commercial] Commercial or financial information given in 47 confidence, [not required by statute;] and which is submitted to an agency by a commercial enterprise and which if disclosed would cause 48 49 substantial injury to the competitive position of the subject enterprise; 50 and
- 51 (C) Strategy or negotiation records, including draft agreements, with respect to an economic development agreement between a 52 53 private person or entity and the Department of Economic and 54 Community Development, until such time as an agreement has been 55 executed, or all related proceedings or transactions have been 56 terminated or abandoned.
 - (6) Test questions, scoring keys and other examination data used to administer a licensing examination, examination for employment or academic examinations;
 - (7) The contents of real estate appraisals, engineering or feasibility estimates and evaluations made for or by an agency relative to the acquisition of property or to prospective public supply and construction contracts, until such time as all of the property has been acquired or all proceedings or transactions have been terminated or abandoned, provided the law of eminent domain shall not be affected by this provision;
- 67 (8) Statements of personal worth or personal financial data required 68 by a licensing agency and filed by an applicant with such licensing 69 agency to establish his personal qualification for the license, certificate 70 or permit applied for;
- 71 (9) Records, reports and statements of strategy or negotiations with 72 respect to collective bargaining;
- 73 (10) Records, tax returns, reports and statements exempted by 74 federal law or state statutes or communications privileged by the 75 attorney-client relationship;

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- (11) Names or addresses of students enrolled in any public school or college without the consent of each student whose name or address is to be disclosed who is eighteen years of age or older and a parent or guardian of each such student who is younger than eighteen years of age, provided this subdivision shall not be construed as prohibiting the disclosure of the names or addresses of students enrolled in any public school in a regional school district to the board of selectmen or town board of finance, as the case may be, of the town wherein the student resides for the purpose of verifying tuition payments made to such school;
- (12) Any information obtained by the use of illegal means;
- 87 (13) Records of an investigation or the name of an employee 88 providing information under the provisions of section 4-61dd;
- (14) Adoption records and information provided for in sections 45a-90 746, <u>as amended</u>, 45a-750 and 45a-751;
 - (15) Any page of a primary petition, nominating petition, referendum petition or petition for a town meeting submitted under any provision of the general statutes or of any special act, municipal charter or ordinance, until the required processing and certification of such page has been completed by the official or officials charged with such duty after which time disclosure of such page shall be required;
- 97 (16) Records of complaints, including information compiled in the 98 investigation thereof, brought to a municipal health authority pursuant 99 to chapter 368e or a district department of health pursuant to chapter 100 368f, until such time as the investigation is concluded or thirty days 101 from the date of receipt of the complaint, whichever occurs first;
- 102 (17) Educational records which are not subject to disclosure under 103 the Family Educational Rights and Privacy Act, 20 USC 1232g;
- 104 (18) Records, the disclosure of which the Commissioner of 105 Correction has reasonable grounds to believe may result in a safety

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- 107 from, or a disorder in, a correctional institution or facility under the
- 108 supervision of the Department of Correction. Such records shall
- include, but are not limited to:
- 110 (A) Security manuals, including emergency plans contained or 111 referred to in such security manuals;
- 112 (B) Engineering and architectural drawings of correctional 113 institutions or facilities;
- 114 (C) Operational specifications of security systems utilized by the
- 115 Department of Correction at any correctional institution or facility,
- except that a general description of any such security system and the
- 117 cost and quality of such system, may be disclosed;
- 118 (D) Training manuals prepared for correctional institutions and
- facilities that describe, in any manner, security procedures, emergency
- 120 plans or security equipment;
- 121 (E) Internal security audits of correctional institutions and facilities;
- 122 (F) Minutes or recordings of staff meetings of the Department of
- 123 Correction, or portions of such minutes or recordings, that contain or
- 124 reveal information relating to security or other records otherwise
- 125 exempt from disclosure under this subdivision;
- 126 (G) Logs or other documents that contain information on the
- movement or assignment of inmates or staff at correctional institutions
- 128 or facilities; and
- 129 (H) Records that contain information on contacts between inmates,
- as defined in section 18-84, and law enforcement officers.
- 131 Sec. 2. Subsection (k) of section 32-11a of the general statutes is
- repealed and the following is substituted in lieu thereof:
- 133 (k) All information contained in any application for financial

134 assistance submitted to the authority or the department, and all 135 information obtained by the authority or the department with respect 136 to any person or project, including all financial, credit and proprietary 137 information, shall be [exempt from the provisions of subsection (a) of 138 section 1-210] subject to disclosure under the Freedom of Information 139 Act, except as provided under subsection (b) of section 1-210, as 140 amended by this act, and except for information contained in any 141 application for financial assistance submitted to the authority or the 142 department, and all information obtained by the authority or the 143 department with respect to any person or project, including all 144 financial, credit and proprietary information submitted to the 145 authority or the department prior to October 1, 2000.

Sec. 3. (NEW) Any private person or entity authorized by, or receiving funds from, a public agency to perform economic development functions or services shall be subject to the provisions of the Freedom of Information Act, as amended by this act, and by any other provision of the general statutes, applicable to such agency, that provides for the confidentiality of public records or meetings, but only with respect to such functions, services or agreement.

Statement of Purpose:

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To implement the Governor's initiative that economic development entities receiving public funds should be accountable to the public through the Freedom of Information Act.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]